

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>110012401</b>	<div style="display: flex; justify-content: space-between;"> <div style="text-align: center;"> <b>FOR FURTHER ACTION</b> </div> <div style="font-size: small;">             see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.           </div> </div>	
International application No. <b>PCT/SE 00/01522</b>	International filing date ( <i>day/month/year</i> ) <b>28 July 2000</b>	(Earliest) Priority Date ( <i>day/month/year</i> ) <b>30 July 1999</b>
Applicant <b>NanoLight International Ltd et al</b>		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing:

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

2. ☐ Certain claims were found unsearchable (See Box I).

3. ☐ Unity of invention is lacking (See Box II).

4. With regard to the title,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No. 1

☒ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 00/01522

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H01J 1/304, H01J 63/06, H01J 19/24

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 9821736 A1 (E.I. DU PONT DE NEMOURS AND COMPANY), 22 May 1998 (22.05.98), page 3, line 1 - line 11; page 9, line 18 - line 31, claims 1,4 --	1-6,8,10, 21-26,28
X	WO 9931701 A1 (E.I. DU PONT DE NEMOURS AND COMPANY), 24 June 1999 (24.06.99), page 5, line 5 - page 6, line 15, abstract --	1-6,8,10, 21-26,28
P,X	EP 1022764 A1 (LUCENT TECHNOLOGIES INC.), 26 July 2000 (26.07.00), column 10, line 10 - line 17; column 15, line 18 - line 22, figures 2,8, claims 1,2 --	34,47

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 00/01522

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9707531 A1 (E.I. DU PONT DE NEMOURS AND COMPANY ET AL), 27 February 1997 (27.02.97), abstract --	1-54
A	US 3758809 A (RICHARD A. MENELLY ET AL), 11 Sept 1973 (11.09.73), column 1, line 14 - line 17; column 5, line 54 - column 6, line 48, figure 3 --	34-54
A	US 5773834 A (KAZUHIRO YAMAMOTO ET AL), 30 June 1998 (30.06.98), abstract -- -----	1-54

## INTERNATIONAL SEARCH REPORT

Information on patent family members

02/11/00

International application No.

PCT/SE 00/01522

WO	9821736	A1	22/05/98	EP	0938739 A	01/09/99
				US	6020677 A	01/02/00
WO	9931701	A1	24/06/99	EP	1040502 A	04/10/00
EP	1022764	A1	26/07/00	AU	6447399 A	27/07/00
WO	9707531	A1	27/02/97	AU	696412 B	10/09/98
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(19) World Intellectual Property Organization  
International Bureau

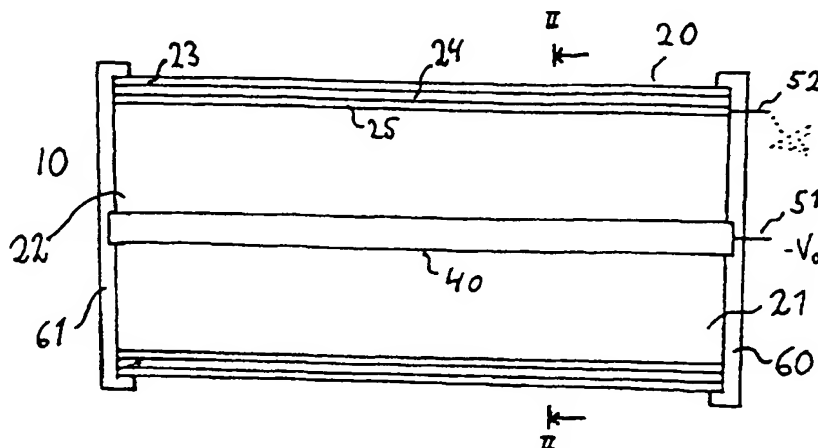


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- (54) Title: A LIGHT SOURCE, AND A FIELD EMISSION CATHODE
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
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- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



(57) Abstract: The light source, comprises an evacuated container having walls, including an outer glass layer (23) which on at least part thereof is coated on the inside with a layer of phosphor (24) forming a luminescent layer and a conductive layer (25) forming an anode. The phosphor (24) is excited to luminescence by electron bombardment from a field emission cathode (40) located in the interior of the container. The field emission cathode (40) comprises a carrier having a diameter in the mm range. At least a portion of the surface of the carrier is provided with a conductive layer having surface irregularities in the form of tips, having a radial extension being less than about 10 μm. Due to the geometry and the tips, the electric field is concentrated and amplified at the field emission surface.

A LIGHT SOURCE, AND A FIELD EMISSION CATHODE.

5 FIELD OF THE INVENTION

The present invention relates to a light source according to the introductory portion of claim 1, especially a light source for illumination. Further, the present invention relates to a field emission cathode according to the introductory portion of claim 21.

10 BACKGROUND OF THE INVENTION

One common type of light sources is the fluorescent tube. It has many advantages, but suffers from serious drawbacks. For example, there is always a delay after the power has been turned on until it starts to operate giving full light. It needs complicated control equipment, which  
15 requires space. To obtain light with a source of this kind it is unfortunately necessary to use materials having negative environmental effects. It is for example a big disadvantage that mercury has to be used in this type of light sources.

Cathodoluminescent light sources is another interesting type of light sources. Such light  
20 sources, including an evacuated envelope containing a grid and a heated cathode, for emission of electrons, are known from GB, A, 2 070 849 (The General Electric Company Limited), GB, A, 2 097 181 (The General Electric Company PLC), GB, A, 2 126 006 (The General Electric Company plc) and GB, A, 2 089 561 (The General Electric Company Limited). The insides of the envelopes are covered with a layer of phosphor of an electron-responsive type.  
25 These cathodoluminescent lamps have essentially the form of an electric bulb.

Since these light sources all have a heated cathode, the cathode has to be heated by special means, before the emission of light starts.

30 The use of electrons exciting phosphor to luminescence has the effect that more heat is produced than in comparable fluorescent tubes. It is therefore advantageous if the active surface, for the emission of light and for the necessary heat dissipation, is large. The cathodoluminescent lamps shown in the documents mentioned do not have optimal surfaces.

To overcome the drawbacks and problems with the fluorescent tubes and cathodoluminescent light sources, light sources having field emission cathodes were developed.

5 A light source of this kind is disclosed in US, A, 5 588 893 (Kentucky Research and Investment Company Limited). A field emission cathode is arranged inside an evacuated glass container having a luminescent layer arranged on its inner surface. A modulator or extraction electrode is provided between the cathode and the luminescent layer. The cathode includes carbon fibers, arranged in bundles, preferably in a matrix, on a substrate. The content of US, A, 5 588 893 is incorporated herein by reference.

10 However in the last-mentioned known light source, electrons are emitted only in a direction perpendicular to the substrate. Also, there is no indication in the document how to produce the light source in a cost-efficient way.

15 The above mentioned US, A, 5 588 893 (Kentucky Research and Investment Company Limited) also discloses a field emission cathode of the kind mentioned above. The cathode disclosed includes carbon fibres, arranged in bundles, preferably in a matrix, on a substrate. The document also discloses a method including treatment of the emitting surfaces in order to achieve a cathode with higher efficiency than previous cathodes.

20 Further, WO,A1,98/57344 (LightLab AB) and WO,A1,98/57345 (LightLab AB) disclose light sources having cylindrical geometry and employing field emission. In order to obtain the necessary electric field for field emission, the mentioned light sources include grids or modulator electrodes arranged close to the field emitting surfaces of the cathodes. In those  
25 light sources a relatively high electric field has to be created between the cathode and the grid, and the distance between the field emitting surfaces and the grid has to be small and uniform in order to achieve a sufficient electric field for field emission and good distribution of electrons emitted from the cathode.

30 A further document, WO, A1, 97/07531 (Silzars et. al.) discloses a lighting apparatus including a field emission cathode. The cathode is built up of one or more fibers. The fibers are very thin, having a diameter less than 100 microns, and preferably less than 10 microns. The diameters are selected in order to achieve field emission at reasonable voltages. A construction according to this document having one fiber will be inoperative if the fiber is  
35 broken. Since the fiber is very thin, the probability of that it breaks appears to be high.

However, the probability is probably somewhat lowered by arranging more than one fiber in parallel, for redundancy. Moreover, the electron emission surface is very small due to the small diameter of the fiber(s).

- 5 Previously known field emission cathodes are often of a complicated and fragile construction, especially as concerns the mountings and the attachment of field emitting bodies.

It has been found in connection with cathodes including standard carbon fibers and a grid that the electrical fields acting between the cathode and a grid or an anode can cause individual  
10 fibers to get loose from their carrier if they are not safely secured thereto. Once loose, the fibers will, in most cases, be attracted by the grid and cause a short circuit between the cathode and the grid, until it burns off after some time due to the resulting current through the fibres.

## 15 SUMMARY OF THE INVENTION

It is an object of the invention to provide a light source and a field emission cathode, respectively, providing a concentrated electric field at the field emission surface(s), and by which at least some of the drawbacks above are eliminated or reduced.

20 These and other objects are attained by the features set forth in the appended independent claims.

By the features in claims 1, 12 and 34, 47, it is achieved a light source, a field emission  
25 cathode and an alternative light source and field emission cathode, respectively, having a long life, with high efficiency and stability, which can be produced at low cost.

By the features in claims 1, 12 and 34, 47, it is achieved a light source, a field emission cathode and an alternative light source and field emission cathode, respectively, having a  
30 sufficient electric field for field emission with good distribution and high emission of electrons from the cathode.

By the features in claims 1, 12 and 34, 47, it is achieved a light source, a field emission cathode and an alternative light source and field emission cathode, respectively, in which field  
35 emission can be obtained without the use of a grid or extraction electrode.



By the features in claim 1, further, a light source without a starting up period is achieved, i.e. when the power is turned on, the light starts immediately, thanks to the use of a field emission cathode. A light source with no need for materials having negative environmental effects is also achieved.

By the features in claims 1 and 34, further, a light source having a field emitting cathode of simple and robust construction is obtained.

By the features in claim 5, further, a light source having a large active light emitting surface is achieved. This efficient use of the surface renders it possible to achieve a light source having a high light emission in relation to the heat produced.

By the features in claims 21 and 47, further, a field emitting cathode of simple and robust construction is obtained.

By the features in claims 21 through 33 and claims 47 through 54 a field emitting cathode is obtained which further provides for a high emission and uniform distribution of emitted electrons, in particular through a cylindrical surface region surrounding the cathode. A cathode with low interference between the field emitting surfaces is also achieved.

Further features and advantages will be apparent from the dependent claims and the detailed description below.

## BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows schematically a longitudinal section of an embodiment of a light source according to the present invention,

Fig. 2 shows schematically a cross section taken at II-II in Fig. 1,

Fig. 3 shows schematically the cathode and the anode of Figure 2, and

Fig. 4 shows schematically a cross section of an alternative embodiment of a light source according to the present invention.

Fig. 5 shows schematically a cross section of a further alternative embodiment of a light source according to the present invention,

Fig. 6 shows schematically a cross section of a yet further alternative embodiment of a light source according to the present invention,

Fig. 7 shows schematically a possible shape of a light source according to the present invention,

Fig. 8 shows schematically a longitudinal section of another alternative embodiment of a light source according to the present invention,

Fig. 9 shows schematically the cathode and the anode as disclosed in Fig. 8,

Fig. 10 shows schematically a longitudinal section of yet another alternative embodiment of a light source according to the present invention, and

Fig. 11 shows schematically a longitudinal section of a further alternative embodiment of a light source according to the present invention.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring to figure 1, there is shown, in a schematic longitudinal section, an embodiment of a light source according to the present invention, identified generally by the numeral 10, and especially intended for illumination purposes. It includes a container having walls, one of which is identified by the numeral 20. This wall 20 has an outer glass layer and is shown to be cylindrical. The cylinder 20 has an end 21, which is covered by an end cap 60. A sealing (not shown) is provided between the end cap and the cylinder 20, in order to achieve an airtight sealing of the container. At the other end 22 of the cylinder 20 an end cap 61 is provided, similar to the one arranged at the end 21, also provided with a sealing. Alternatively, at the end 22 there can be arranged a circular wall as a continuation of the cylinder wall 20, also

having an outer layer of glass. The container is sealed in order to maintain the vacuum (approximately  $10^{-6}$  torr) created when the container is evacuated.

5 Inside the container and preferably coaxially therewith, a cathode 40 is arranged. This cathode is a cold cathode, especially a field emission cathode. Its construction and function will be explained further below.

10 The light source is provided with electrical connections 51, 52, and means (not shown) for fastening of the cathode 40. The cathode 40 can be soldered to the caps 60, 61 or it can be adhered to the caps 60, 61 by an adhesive, preferably an electrically conducting adhesive. It could also be clamped to the caps 60, 61 by clamping means or gripped by gripping means. It is also possible that a circular wall, which is a continuation of the cylinder wall 20, is provided with supporting, fastening or gripping means.

15 The cylindrical part 20 of the container walls surrounding the cathode 40 consists of an outer glass layer 23, a phosphor layer 24 (a cathodoluminescent phosphor) and an inner conductive layer 25 forming an anode. The phosphor layer is a luminescent layer, which upon electron bombardment emits visible light. The anode is preferably made of a reflecting, electrically conductive material, e.g. aluminum. By arranging an aluminum layer covering the phosphor  
20 layer, adverse effects on the vacuum by possible evaporation of the phosphor are avoided.

The electrical connection means 51, 52 connect the cathode 40 and the anode 25, respectively, to a feed and control circuit (not shown). Those connection means preferably include  
25 conductive terminal pins which extend through the cap 60 and are insulated from each other.

The electrical connection means 52 could further include conductive fingers or similar, which are in contact with the anode layer 25 provided inside the container. The openings for the electrical connection means 51, 52 in the end cap 60 are airtight sealed. At the other end 22 of the container wall 20, there can be arranged an end cap 61 similar to the end cap 60, to support the cathode 40. However, this end cap 61, at the other end 22, could be formed  
30 without electrical connection means.

The cathode 40 includes a relatively thin wire or rod, of electrically conductive material, e.g. a nickel wire. The wire or rod preferably has a circular cross section and its diameter is in the millimeter range, about one to a few mm, e.g. 0.5-5mm or 1.5-2mm. This provides for a

strong and durable cathode, exhibiting a surface sufficient for a high emission of electrons. The area of the wire is also sufficient for the current to be conducted there through.

Figure 2 shows the light source of Figure 1 in a cross section taken at II-II.

5

In operation, a DC voltage is supplied between the cathode 40 and the anode 25 by means of a feed and control circuit (not shown), which could be located in a housing, connected to the AC mains e.g. through an ordinary lamp socket. The feed and control circuit supplies the voltages to the conductive terminal connections 51-52, to which it is connected. Preferably connection 52 is at ground potential and connection 51 is negative. When the voltage is applied, an electrical field is created between the cathode 40 and the anode 25.

10

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Due to the geometry of the light source according to the invention a favorable distribution of the electric field is obtained. The electric field is strongest where a strong electric field is needed, for obtaining field emission, namely around the cathode. The following formula gives the electric field strength in a structure according to the invention, having a central conductor coaxially surrounded by a circular cylindrical conductor:

$$E(r) = \frac{V_0}{\ln \frac{R_o}{R_i}} \cdot \frac{1}{r}, \text{ where } E(r) \text{ is the electric field strength at radius } r \text{ with respect to the}$$

20

25

central axis of the central conductor,  $V_0$  is the voltage applied between the conductors (cathode and anode in the light source),  $R_o$  is the inner radius of the cylindrical conductor (the anode) and  $R_i$  is the outer radius of the inner conductor (the cathode). In Figure 3, which schematically shows the cathode and the anode of Figure 2, variables of the formula are indicated. As seen from the formula a very strong electric field close to the cathode can be obtained with suitably selected dimensions. Especially a small radius of the cathode (small  $r$ ) will give a high electric field close to the cathode. The electric field lines will be concentrated around the cathode, and it can be seen as if the cathode were surrounded by a virtual extraction electrode.

30

In order to obtain field emission from the cathode, it is covered with a field emitting material, such as a layer of carbon nanotubes. The electric field is then further amplified around the field emitting tips, and an amplification factor (of the field) of 1000 and even more can be obtained. This can be seen as an amplification of the effect of said virtual extraction electrode. Taking this amplification factor (about 1000) into account, the electric field needed to efficiently extract electrons (by field emission) from a layer of nanotubes is about 1 kV/mm.

For further explanation and discussion of nanotubes it is referred to the articles "Field emission from carbon nanotubes: a comparative study" by J M Bonard, J P Salvetat, T Stöckli, L Forró, A Châtelain, Proceedings of the 193<sup>rd</sup> ECS symposium, 1998, and "Field emission properties of multiwalled carbon nanotubes" by J M Bonard, F Maier, T Stöckli, A Châtelain, W A de Heer, J P Salvetat, L Forró, Ultramicroscopy 73 (1998) 7-15, which articles are incorporated herein by reference.

The irregularities are formed by carbon nanotubes applied on the (cylindrical) surface of the wire or rod included in the cathode. The nanotubes have a very short length, less than about 10  $\mu\text{m}$ , and do not affect the variable  $r$  in the formula since the diameter of the wire or rod of the cathode is selected in the mm range, about one to a few mm, e.g. 0.5-5 mm or 1.5-2 mm. The tips of the nanotubes have a radius of curvature being in the range 0.1-100 nanometers.

The applied carbon nanotubes can be of different types, e.g. single wall nanotubes or open or closed multi wall nanotubes. In this case catalytically deposited multi wall nanotubes deposited in the form of a film are suitable and can be applied by a simple process. Such nanotubes are suitable for depositing on a wire and they will be appropriately oriented by the process, with their respective longitudinal axis being essentially perpendicular to the longitudinal axis of the wire. Further, application of nanotubes by a catalytic or alternatively CVD process results in good uniformity and low manufacturing cost. Recent laboratory measurements confirm that the amplification factor is about 1000 in catalytically deposited nanotube films and that currents up to 10  $\text{mA}/\text{cm}^2$  are obtained.

When the field strength is sufficient to cause field emission of electrons from the field emitting surfaces (tips) of the field emitting material (nanotubes) of the cathode 40, the electrons will accelerate and travel towards the anode 25. Due to the high kinetic energy of the electrons and the fact that the anode layer is relatively thin (less than 0.1 micron), the electrons will pass through the anode so as to enter the phosphor layer while still having sufficient kinetic energy to excite the phosphor to luminescence, whereby visible light is emitted. The electrons will then return to the anode to be drained off. The electron bombardment will cause, besides light, heating of the cylinder wall 20. The glass layer will provide for the dissipation of the heat. The voltage is in the range of kV, typically about 4-8 kV. The voltage much depends on the type of phosphor used. New types of phosphor are continuously developed and because of that, the voltage must be adapted to the specific type

of phosphor used. Changing the type of phosphor and thereby the voltages will cause changes in the currents and the heating of the cylinder wall.

5 If for example a phosphor layer 24 which needs to be bombarded with electrons of about 8 kV in order to obtain a good efficiency, and the cathode 40 has a diameter of about 1 mm in order to assure that the nanotube layer has a sufficiently big surface to emit the current needed for high light intensity, the above formula gives an electric field of 4 kV/mm at the cathode surface with an inner diameter of the anode 25 being 55 mm. With a cathode diameter of 1.5 mm, 3.7 kV/mm is obtained at the cathode surface if the inner diameter of the anode 25 is 28 mm. A field strength of about 4 kV/mm has been chosen in these examples to be safely above the 1 kV/mm needed.

10 For the example above with a cathode diameter of 1.5 mm and an inner diameter of the anode being 28 mm, a length of 20 mm (anode and cathode) gives an electron emission surface of about 1 cm<sup>2</sup>. From this surface electrons corresponding to a current of 10 mA can be emitted. The corresponding phosphor surface is about 20 cm<sup>2</sup>, which thus gives a current density of 0.5 mA/cm<sup>2</sup> at the phosphor surface. This is a too high density for continuous operation (for a high voltage of 8 kV, this corresponds to 80 W for a 20 mm long cylinder lamp).

15 20 With a light source according to the invention there is thus no problem to obtain currents, and consequently light intensities well corresponding to what is obtained from a classical fluorescent light tube. As seen from the examples the outer diameter of a light source according to the invention can be made to correspond well to that of a classical fluorescent light tube. As apparent from the description, the light source according to the invention starts to emit light immediately, when a voltage is applied between the anode and the cathode.

25 Due to the geometry of the light source according to the invention, the dimensional tolerances are not required to be very exact, especially in comparison to light sources having a grid. This is apparent from the formula above, and contributes to low manufacturing costs.

30 Figure 4 shows an alternative embodiment of a light source, according to the invention, in cross section. What differs from fig. 2 is the arrangement of the layers of the wall 20'. It includes an outer glass layer 23', which is covered, on at least a major part of its inside, by an electrically conductive transparent material forming the anode 25'. The anode 25' then carries the phosphor layer 24' on the inside. The anode is made from e.g. ITO (indium tin oxide). To

establish direct electrical contact with the anode 25', conductive fingers can be arranged as mentioned above and some regions of the anode 25' are therefore not covered with phosphor. Alternatively, electrically conductive surfaces being in contact with the anode can be applied on to the phosphor layer. Those surfaces are small not to interfere with the operation of the light source but of sufficient size to establish electrical contact with the conductive fingers.

The operation of this embodiment illustrated in figure 4 is essentially the same as that of the embodiment illustrated in figure 2. However, after leaving the cathode 40, the electrons will first hit the phosphor layer and excite it to luminescence, and thereafter they will be drained off by the anode. Since the electrons first hit the phosphor layer and do not have to pass through the anode layer before they hit the phosphor layer, the voltage applied between the cathode and the anode can be about 1-2 kV lower than in the embodiment illustrated in figure 2.

In the previous embodiments, the cathode 40 has been shown to be arranged concentrically with the container wall 20. However, it can be non-concentrically arranged as shown in figure 5. Here the center of the cathode 40 is located at a distance  $d$  from the center 26 of the cylindrical container wall 20. By this arrangement, the electric field will be increased at portions of the container and decreased in other portions. Hereby a possibility to control the light intensity is obtained, so that increased light intensities can be achieved in certain directions. However, the electric field around the cathode, the extraction field, will not be substantially changed due to the non-concentricity for moderate distances  $d$ . If the inner diameter of the cylinder wall 20 is 20 mm and the outer diameter of the cathode is 2 mm, a distance  $d$  of 5 mm will cause higher current densities at the portions of the cylinder wall closest to the cathode 40, but the electric field around the cathode will still be sufficient for field emission around the cathode 40. For small distances  $d$  (e.g. around 0,1 mm) the effects are almost none. This means that exact concentricity is not necessary for obtaining homogenous light emission.

In figure 6, a further embodiment of the invention is shown, where the cathode 40, i.e. the carrier (wire or rod) of the surface irregularities (the nanotubes), have a non-circular cross section. The cross section shown is elliptical, but could be any, having a smooth curve, i.e. not exhibiting any sharp corners. In this case the electric field, the current densities and the light intensities can be controlled in a similar manner as in the previous embodiment of figure 5.

In earlier embodiments the container has been shown to be a straight cylinder. However other shapes are possible. In figure 7 a container having the shape of a bent tube, is shown. The tube can be bent in a circular form or semi-circular, as shown.

5 Since nanotubes are conductive the core or carrier (the wire or rod) of the cathode 40 does not have to be conductive. It can be made of a semi-conductive or an isolating material. In such a case the nanotubes are applied in a continuous layer, and electrical connections are provided to this layer. This is valid for all previous embodiments.

10 In the alternative arrangement disclosed in figure 8 the wall 20" has an outer glass structure and is shown to be spherical. The sphere 20" has an end 21" which is covered by an end cap 60". A sealing (not shown) is provided between the end cap and the sphere 20", in order to achieve an airtight sealing of the container. Also here the container is sealed in order to maintain the vacuum (approximately  $10^{-6}$  torr) created when the container is evacuated.

15 Inside the container and preferably centrally therewith, a cathode 40" is arranged. The cathode 40" includes a relatively small sphere of electrically conductive, electrically semi-conductive or insulating material, e.g. of nickel. The radius thereof is in the millimeter range, about one to ten mm. This provides for a strong and durable cathode, exhibiting a surface  
20 sufficient for a high emission of electrons. Also in this case the cathode is a cold cathode, especially a filed emission cathode. Its construction and function will be explained further below.

25 The light source is provided with electrical connections 51", 52", and means 70 for supporting of the cathode 40". Said means 70 takes the form of a thin conducting rod 70 extending to the end cap 60". The rod 70 could be clamped to the cap 60" by clamping means or gripped by gripping means.

30 The spherical part 20" of the container walls surrounding the cathode 40" consists of an outer glass structure 23", a phosphor layer 24" (a cathodoluminescent phosphor) and an inner conductive layer 25" forming an anode. The phosphor layer is a luminescent layer, which upon electron bombardment emits visible light. The anode is preferably made of a reflecting, electrically conductive material, e.g. aluminum. By arranging an aluminum layer covering the phosphor layer, adverse effects on the vacuum by possible evaporation of the phosphor are  
35 avoided.



The electrical connection means 51", 52" connect the cathode 40" and the anode 25", respectively, to a feed circuit (not shown). Those connection means preferably include conductive terminal pins which extend through the cap 60" and are insulated from each other.

5 The electrical connection means 52" could further include conductive fingers or similar, which are in contact with the anode layer 25" provided inside the container. The openings for the electrical connection means 51", 52" in the end cap 60" are airtight sealed.

10 In order to specify an example the case is taken where the outside sphere has a radius of about 30 mm, which is similar to a standard incandescent bulb: If the inner radius is chosen to be 2,5 mm, the electric field strength at the surface of the inner sphere will be 3500 V/mm for an applied voltage of 8000 volts. It is easy to draw a current of say 5 mA from the nanotube layer on the surface of the inner sphere ( $0,8 \text{ cm}^2$ ), which at the phosphor layer on the surface of the outer sphere ( $110 \text{ cm}^2$ ) will give a current density of about 45 microamps/ $\text{cm}^2$ .

15 The above calculations are given as examples for a perfect spherical symmetry. In reality one must of course take into consideration the fact that the central sphere is held in place by a thin conducting rod and that the outer sphere has an extension to the socket (compare Figs. 8, 10 and 11).

20 Additionally, one can also consider cases where not the whole surface of the inner sphere is covered with phosphor, as is disclosed in the embodiment of Fig. 10.

25 Furthermore it is also possible to move the inner sphere to a non-central position in the outer sphere in order to increase the light intensity in certain directions. This follows from the embodiment disclosed in Fig. 11.

30 According to an alternative arrangement, not disclosed on the drawing, the glass sphere could be covered, on at least a major part of its inside, by an electrically conductive transparent material forming the anode. The anode then carries a phosphor layer on the inside. The anode is made from e.g. indium-tin-oxide or indium oxide. To establish direct electrical contact with the anode conductive fingers can be arranged as mentioned above and some regions of the anode are therefore not covered with phosphor. Alternatively, electrically conductive surfaces being in contact with the anode can be applied on to the phosphor layer. Those surfaces are

small not to interfere with the operation of the light source but of sufficient size to establish electrical contact with the conductive fingers.

5 In order to reduce existing disturbances of the electrical field within the container it may also be of advantage to encase the electrically conducting rod holding the inner sphere, within a separate casing. Such casing then takes the form of a grounded metallic cylinder.

10 Finally it should also be noted that the lamp bulb and the central bead could also be shaped differently from a sphere, e.g. like an ellipsoid, in order to influence the light distribution in different directions.

15 Although the invention is described by way of the above examples, naturally, a skilled person would appreciate that many other variations than those explicitly disclosed are possible within the scope of the claims.

20 Although the embodiments include certain details for the electrical connection and for the support of the different parts in the light source, it should be noted that they can be formed in many other ways, as should also be understood by a person skilled in the art, and that they do not limit the scope of invention.

-----

## CLAIMS

1. A light source, comprising an evacuated container having walls, at least a portion of which comprises an outer glass layer (23, 23') which on at least part thereof is coated on the inside with a layer of phosphor (24, 24') forming a luminescent layer, and a conductive layer (25, 25') forming an anode, which layer of phosphor (24, 24') is excited to luminescence by electron bombardment from a filed emission cathode (40, 40') located in the interior of the container,

characterized in that

- the field emission cathode (40, 40') comprises an elongate wire-shaped carrier having a cylindrical surface, and a diameter in the range 0,5-5 mm, and
- at least a portion of said cylindrical surface being provided with conductive surface irregularities in the form of tips having a radial extension being less than about 10  $\mu\text{m}$ .

2. The light source according to claim 1, wherein the elongate carrier is made of a conductive material.

3. The light source according to claim 1, wherein the elongate carrier is made of a semi-conductive material.

4. The light source according to claim 1, wherein the elongate carrier is made of an insulating material.

5. The light source according to any of claims 1-4, wherein the container has a cylindrical shape and a diameter in the range 8-80 mm.

6. The light source according to any of claims 1-5, wherein the elongate carrier is coaxially arranged in the container.

7. The light source according to any of claims 1-5, wherein the elongate carrier is eccentrically arranged in the container.

8. The light source according to any of claims 1-7, wherein

- the elongate carrier has an essentially circular cross section.

9. The light source according to any of claims 1-7, wherein

- the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.

10. The light source according to any of claims 1-9, wherein

- the elongate carrier comprises a wire.

5 11. The light source according to any of claims 1-9, wherein

- the elongate carrier comprises a rod.

12. The light source according to any of claims 1-11, wherein

- the tips have a radius of curvature being in the range 0,1-100 nanometers.

10

13. The light source according to any of claims 1-12, wherein

- the elongate carrier has a first longitudinal axis,
- the cylindrical surface is at least partially covered with carbon nanotubes, each having a second longitudinal axis being essentially perpendicular to the first longitudinal axis,
- 15 and
- free ends of said nanotubes constitute said tips.

14. The light source according to claim 13, wherein said nanotubes are arranged on the carrier in the form of a deposited nanotube film.

20

15. The light source according to any of claims 1-14, wherein the tips are essentially uniformly distributed around the carrier.

16. The light source according to any of claims 1-15, wherein

- 25 - the luminescent layer (24) is arranged between the glass layer (23) and the anode (25), and
- the anode (25) is made of a reflective material for reflection of the light emitted from the luminescent layer (24).

30 17. The light source according to any of claims 1-15, wherein

- the anode (25') is arranged between the glass layer (23') and the luminescent layer (24'), and
- the anode (25') is made of a transparent material.

35 18. The light source according to any of claims 1-15, wherein

- the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

19. The light source according to any of claims 1-15, wherein

- 5        - the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

20. The light source according to any of claims 1-18, wherein the container has the shape of a curved tube, curved in e.g. a circular or semicircular curve.

10

21. A filed emission cathode (40), for use in a light source, and to be at least partially encompassed by an anode, and comprising an elongate electrically conductive means, characterized in that

- 15        - said elongate electrically conductive means includes conductive surface irregularities in the form of tips, having a radial extension being less than about 10  $\mu\text{m}$ , and being provided on at least a portion of a wire-shaped carrier having a cylindrical surface and a diameter in the range 0,5-5 mm.

22. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of a conductive material.

20

23. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of a semi-conductive material.

25        24. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of an insulating material.

25

25. The field emission cathode (40) according to any of claims 21-23, wherein

- 30        - the cathode is to be at least partially encompassed by an anode having a cylindrical shape and a diameter in the range 8-80 mm.

30

26. The field emission cathode (40) according to any of claims 21-25, wherein

- the elongate carrier has an essentially circular cross section.

35        27. The field emission cathode (40) according to any of claims 21-25, wherein

35

- the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.

28. The field emission cathode according to any of claims 21-27, wherein

- 5     - the elongate carrier comprises a wire.

29. The field emission cathode according to any of claims 21-27, wherein

- the elongate carrier comprises a rod.

10    30. The field emission cathode according to any of claims 21-29, wherein

- the tips have a radius of curvature being in the range 0,1-100 nanometers.

31. The field emission cathode according to any of claims 21-30, wherein

- 15     - the elongate carrier has a first longitudinal axis,
- the cylindrical surface is at least partially covered with carbon nanotubes, each having a second longitudinal axis being essentially perpendicular to the first longitudinal axis, and
- free ends of said nanotubes constitute said tips.

20    32. The field emission cathode according to claim 31, wherein said nanotubes are arranged on the carrier in the form of a deposited nanotube film.

33. The field emission cathode according to any of claims 21-32, wherein the tips are essentially uniformly distributed around the carrier.

25    34. A light source, comprising an evacuated container having walls, at least a portion of which comprises an outer glass structure (23") which on at least part thereof is coated on the inside with a layer of phosphor (24") forming a luminescent layer, and a conductive layer (25") forming an anode, which layer of phosphor (24") is excited to luminescence by

30    electron bombardment from a field emission cathode (40") located in the interior of the container,

characterized in that

- the field emission cathode (40") comprises a carrier, at least partly taking the form of a sphere, and
- at least a portion of the surface of said sphere being provided with conductive surface irregularities in the form of tips, having a radial extension being less than about 10  $\mu\text{m}$ .

35. The light source according to claim 34, wherein said carrier is made of a conductive material.

36. The light source according to claim 34, wherein said carrier is made of a semi-conductive material.

37. The light source according to claim 34, wherein said carrier is made of an insulating material.

38. The light source according to any of claims 34-37, wherein the container at least partly takes the form of a sphere having a radius within the range of 1-10 cm.

39. The light source according to any of claims 34-38, wherein the carrier is arranged in the center of the container.

40. The light source according to any of claims 34-38, wherein the carrier is eccentrically arranged in the container.

41. The light source according to any of claims 34-40, wherein

- the tips have a radius of curvature being in the range 0,1-100 nanometers.

42. The light source according to any of claims 34-41, wherein

- the surface of said carrier is at least partially covered with carbon nanotubes, each having a longitudinal axis being essentially perpendicular to the surface of the carrier, and
- the free ends of said nanotubes constitute said tips.

5

43. The light source according to any of claims 34-42, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.

10 44. The light source according to any of claims 34-43, wherein

- the luminescent layer (24") is arranged between the glass structure (23") and the anode (25"), and
- the anode (25") is made of a reflective material for reflection of the light emitted from the luminescent layer (24").

15

45. The light source according to any of claims 34 -44, wherein

- the anode is arranged between the glass structure and the luminescent layer, and
- the anode is made of a transparent material.

20 46. The light source according to any of claims 34-445, wherein

- the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

25 47. A field emission cathode (40"), for use in a light source, and to be at least partially encompassed by an anode, and comprising further means,

characterized in that

- said further means includes conductive surface irregularities in the form of tips, having a radial extension being less than about 10  $\mu\text{m}$ , and being provided on at least a portion of a carrier including a spherical surface.

30



48. The light source according to claim 47, wherein said carrier is made of a conductive material.

5 49. The light source according to claim 47, wherein said carrier is made of a semi-conductive material.

50. The light source according to claim 47, wherein said carrier is made of an insulating material.

10 51. The field emission cathode (40") according to any of claims 47-50, wherein  
- the cathode is to be at least partially encompassed by an anode at least partly taking the form of a sphere having a radius within the range of 1-10 cm.

15 52. The field emission cathode according to any of claims 47-51, wherein  
- the tips have a radius of curvature being in the range 0,1-100 nanometers.

20 53. The field emission cathode according to any of claims 47-52, wherein  
- the surface of said carrier is at least partially covered with carbon nanotubes, each having a longitudinal axis being essentially perpendicular to the surface of the carrier, and  
- the free ends of said nanotubes constitute said tips.

25 54. The field emission cathode according to any of claims 47-53, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.

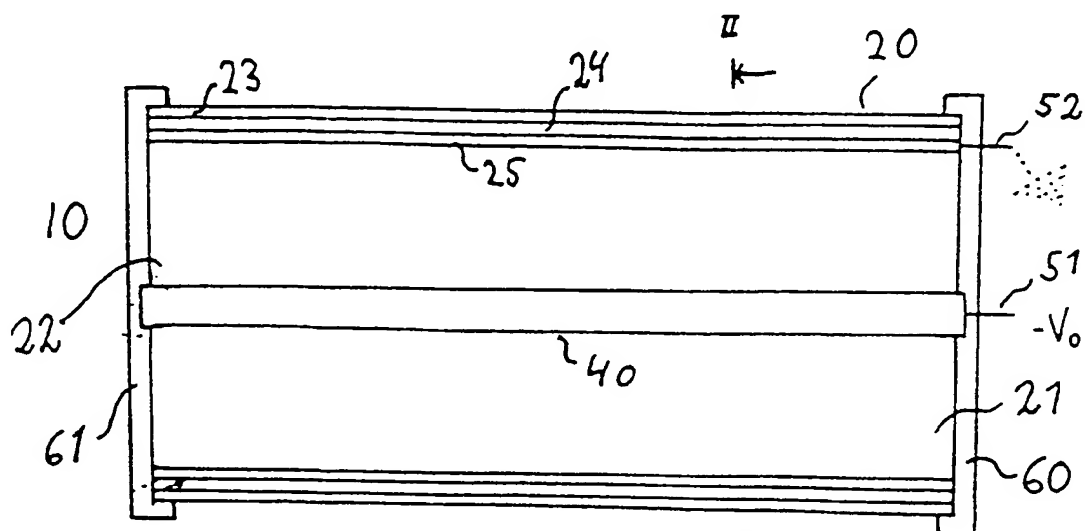


Fig. 1  $\text{II} \leftarrow$

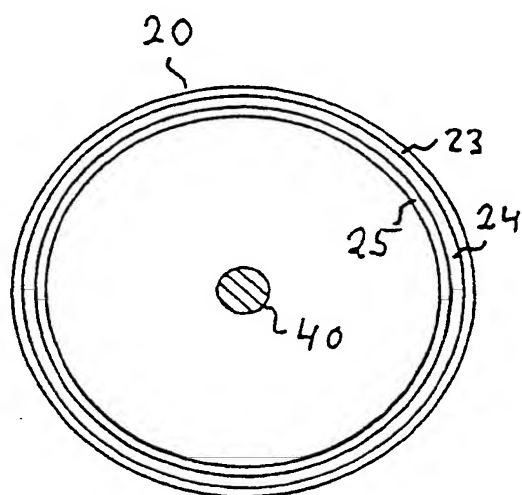


Fig. 2

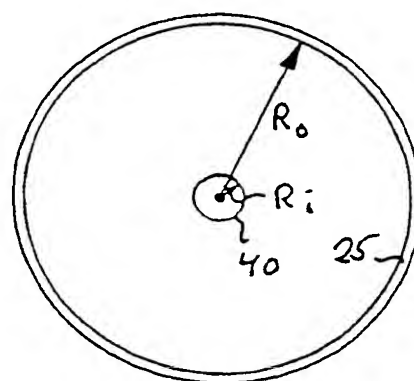


Fig. 3

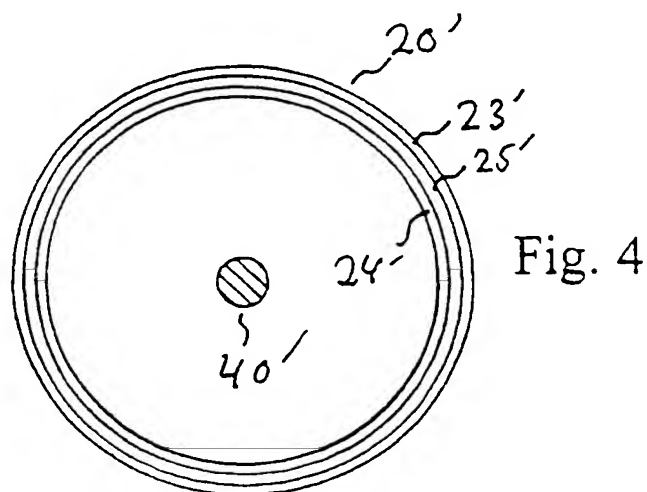


Fig. 4

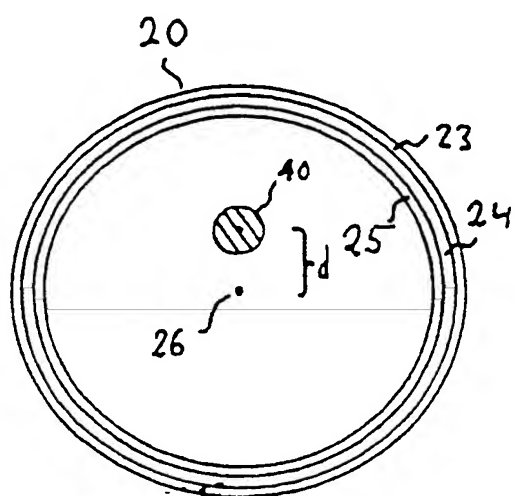


Fig. 5

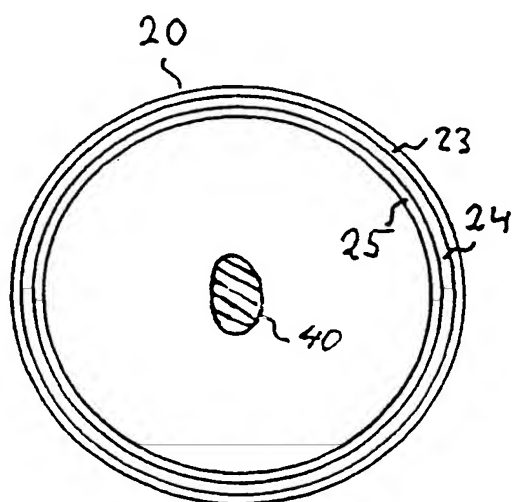


Fig. 6

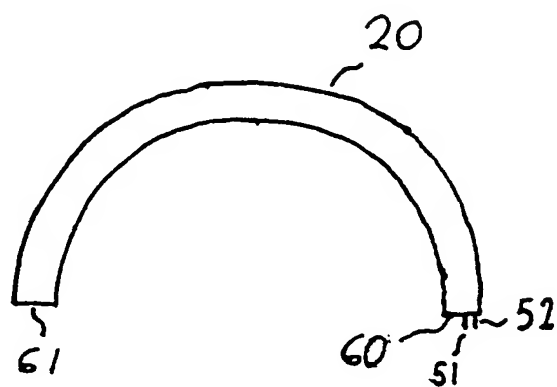


Fig. 7

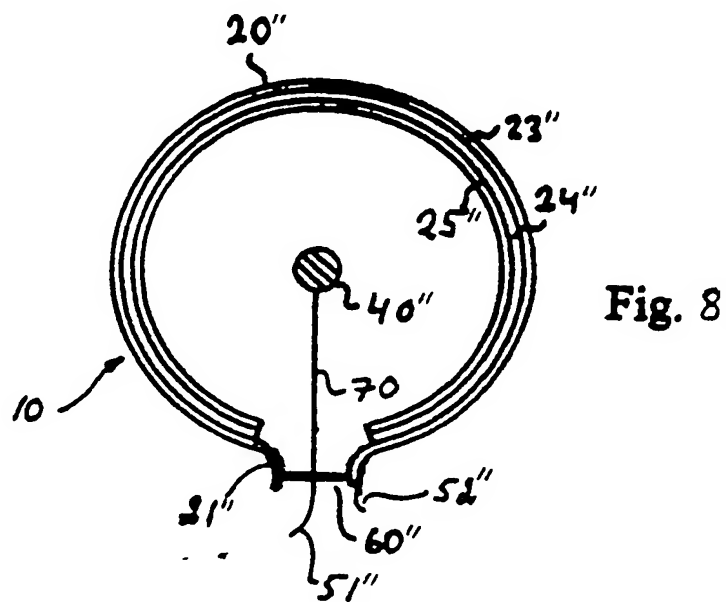


Fig. 8

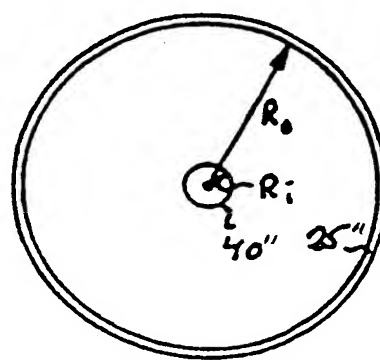


Fig. 9

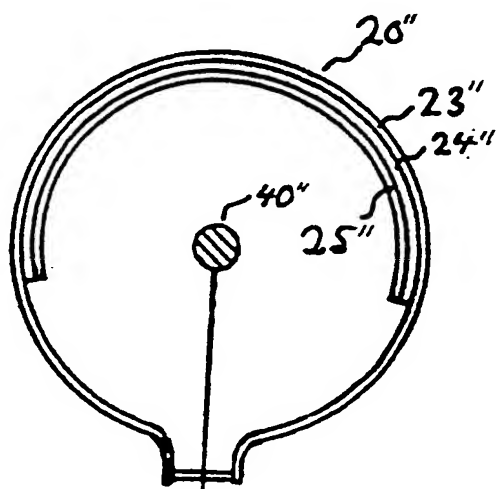


Fig. 10

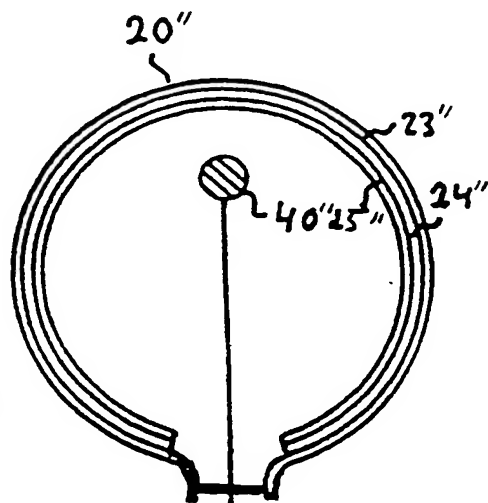


Fig. 11

1

INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 00/01522

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H01J 1/304, H01J 63/06, H01J 19/24

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 9821736 A1 (E.I. DU PONT DE NEMOURS AND COMPANY), 22 May 1998 (22.05.98), page 3, line 1 - line 11; page 9, line 18 - line 31, claims 1,4 --	1-6,8,10, 21-26,28
X	WO 9931701 A1 (E.I. DU PONT DE NEMOURS AND COMPANY), 24 June 1999 (24.06.99), page 5, line 5 - page 6, line 15, abstract --	1-6,8,10, 21-26,28
P,X	EP 1022764 A1 (LUCENT TECHNOLOGIES INC.), 26 July 2000 (26.07.00), column 10, line 10 - line 17; column 15, line 18 - line 22, figures 2,8, claims 1,2 --	34,47

☒ Further documents are listed in the continuation of Box C. ☒ See patent family annex.

<ul style="list-style-type: none"> <li>* Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier application or patent but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	<ul style="list-style-type: none"> <li>"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</li> <li>"&amp;" document member of the same patent family</li> </ul>
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Date of the actual completion of the international search	Date of mailing of the international search report
17 November 2000	22 -11- 2000

Name and mailing address of the ISA:	Authorized officer
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Facsimile No. + 46 8 666 02 86	

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 00/01522

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9707531 A1 (E.I. DU PONT DE NEMOURS AND COMPANY ET AL), 27 February 1997 (27.02.97), abstract --	1-54
A	US 3758809 A (RICHARD A. MENELLY ET AL), 11 Sept 1973 (11.09.73), column 1, line 14 - line 17; column 5, line 54 - column 6, line 48, figure 3 --	34-54
A	US 5773834 A (KAZUHIRO YAMAMOTO ET AL), 30 June 1998 (30.06.98), abstract -- -----	1-54

INTERNATIONAL SEARCH REPORT  
Information on patent family members

International application No.

PCT/SE 00/01522

WO	9821736	A1	22/05/98	EP	0938739 A	01/09/99
				US	6020677 A	01/02/00
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EP	1022764	A1	26/07/00	AU	6447399 A	27/07/00
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				DE	69605118 D,T	25/05/00
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				GB	1394068 A	14/05/75
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US	5773834	A	30/06/98	JP	2873930 B	24/03/99
				JP	9221309 A	26/08/97

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

## PCT

To:

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104 35 STOCKHOLM

### NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing  
(day/month/year) **26-11-2001**

Applicant's or agent's file reference  
**110012401**

#### IMPORTANT NOTIFICATION

International application No. <b>PCT/SE00/01522</b>	International filing date (day/month/year) <b>28-07-2000</b>	Priority date (day/month/year) <b>30-07-1999</b>
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Applicant  
**NanoLight International Ltd  
et al**

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA:  
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# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

RECD 04 DEC 2001

PCT

Applicant's or agent's file reference 110012401	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/SE00/01522	International filing date ( <i>day/month/year</i> ) 28.07.2000	Priority date ( <i>day/month/year</i> ) 30.07.1999
International Patent Classification (IPC) or national classification and IPC <sub>7</sub> H 01 J 1/304, H 01 J 63/06, H 01 J 19/24		
Applicant NanoLight International Ltd et al		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 5 sheets, including this cover sheet.

☒ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 6 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☒ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand  07.02.2001	Date of completion of this report  21.11.2001
Name and mailing address of the IPEA/SE Patent- och registreringsverket Box 5055 S-102 42 STOCKHOLM Facsimile No. 08-667 72 88	Authorized officer  Ulf Nyström/ELY Telephone No. 08-782 25 00

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/SE00/01522

## I. Basis of the report

1. With regard to the **elements** of the international application:\*

- ☐ the international application as originally filed
- ☒ the description:  
pages 1-13, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☒ the claims:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, as amended (together with any statement) under article 19  
pages \_\_\_\_\_, filed with the demand  
pages 14-19, filed with the letter of 15.10.2001
- ☒ the drawings:  
pages 1-3, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the sequence listing part of the description:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheet/fig \_\_\_\_\_

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2 (c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item I and annexed to this report.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/SE00/01522

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

## 1. Statement

Novelty (N)	Claims	<u>1-53</u>	YES
	Claims		NO
Inventive step (IS)	Claims	<u>1-53</u>	YES
	Claims		NO
Industrial applicability (IA)	Claims	<u>1-53</u>	YES
	Claims		NO

## 2. Citations and explanations (Rule 70.7)

The invention according to the amended claims of 2001-10-15 relates to a light source comprising a field emission electrode. The field emitter electrode comprises a carrier having a cylindrical or a spherical surface. At least a portion of the surface of the carrier is provided with irregularities in form of nanotubes having a longitudinal axis perpendicular to surface of the carrier. The free ends of the nanotubes are forming tips having a radial extension less than 10  $\mu\text{m}$ .

The following documents are cited in the International Search Report:

D1 WO 9821736 A1  
D2 WO 9931701 A1  
D3 WO 9707531 A1  
D4 US 3758809 A  
D5 US 5773834 A

Documents D1 and D2 disclose a light source having a field emission electrode, which comprises a wire-shaped carrier. At least a portion of the surface of carrier is provided with conductive irregularities in the form of tips having a radial extension being less than 10  $\mu\text{m}$ .

Document D5 discloses an electron beam source composed of a shaped body having a surface of nanotubes, which extend linearly and obliquely from the surface.

.../...

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/SE00/01522

**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: V.

Documents D3 and D4 represent background art.

The invention according to the amended claims differs from the cited documents in that a portion of the surface of the carrier is provided with conductive irregularities in form of nanotubes, which extend perpendicular to the curved surface of the carrier. This orientation of the nanotubes results in a high emission and uniform distribution of emitted electrons.

In the cited documents there are no suggestions leading a person skilled in the art to modify a field emission electrode so as to arrive at the claimed invention.

Consequently, the subject matter according to claims 1-53 are novel and is considered to involve an inventive step and to be industrial applicable.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/SE00/01522

## VI. Certain documents cited

## 1. Certain published documents (Rule 70.10)

Application No. Patent No.	Publication date (day/month/year)	Filing date (day/month/year)	Priority date (valid claim) (day/month/year)
EP 1022764 A1	26.07.2000	19.01.2000	25.01.1999

## 2. Non-written disclosures (Rule 70.9)

Kind of non-written disclosure	Date of non-written disclosure (day/month/year)	Date of written disclosure referring to non-written disclosure (day/month/year)
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**REPLACED BY  
ART 34 AMDT**

## CLAIMS

1. A light source, comprising an evacuated container having walls, at least a portion of which comprises an outer glass layer (23, 23') which on at least part thereof is coated on the inside with a layer of phosphor (24, 24') forming a luminescent layer, and a conductive layer (25, 25') forming an anode, which layer of phosphor (24, 24') is excited to luminescence by electron bombardment from a filed emission cathode (40, 40') located in the interior of the container,

characterized in that

- the field emission cathode (40, 40') comprises an elongate wire-shaped carrier having a cylindrical surface, and a diameter in the range 0,5-5 mm, and
- at least a portion of said cylindrical surface being provided with conductive surface irregularities in the form of tips having a radial extension being less than about 10  $\mu\text{m}$ .

2. The light source according to claim 1, wherein the elongate carrier is made of a conductive material.

3. The light source according to claim 1, wherein the elongate carrier is made of a semi-conductive material.

4. The light source according to claim 1, wherein the elongate carrier is made of an insulating material.

5. The light source according to any of claims 1-4, wherein the container has a cylindrical shape and a diameter in the range 8-80 mm.

6. The light source according to any of claims 1-5, wherein the elongate carrier is coaxially arranged in the container.

7. The light source according to any of claims 1-5, wherein the elongate carrier is eccentrically arranged in the container.

8. The light source according to any of claims 1-7, wherein

- the elongate carrier has an essentially circular cross section.

9. The light source according to any of claims 1-7, wherein

- the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.

10. The light source according to any of claims 1-9, wherein

- the elongate carrier comprises a wire.

5 11. The light source according to any of claims 1-9, wherein

- the elongate carrier comprises a rod.

12. The light source according to any of claims 1-11, wherein

- the tips have a radius of curvature being in the range 0,1-100 nanometers.

10

13. The light source according to any of claims 1-12, wherein

- the elongate carrier has a first longitudinal axis,
- the cylindrical surface is at least partially covered with carbon nanotubes, each having a second longitudinal axis being essentially perpendicular to the first longitudinal axis, and
- 15 - free ends of said nanotubes constitute said tips.

14. The light source according to claim 13, wherein said nanotubes are arranged on the carrier in the form of a deposited nanotube film.

20

15. The light source according to any of claims 1-14, wherein the tips are essentially uniformly distributed around the carrier.

16. The light source according to any of claims 1-15, wherein

- 25 - the luminescent layer (24) is arranged between the glass layer (23) and the anode (25), and
- the anode (25) is made of a reflective material for reflection of the light emitted from the luminescent layer (24).

17. The light source according to any of claims 1-15, wherein

- 30 - the anode (25') is arranged between the glass layer (23') and the luminescent layer (24'), and
- the anode (25') is made of a transparent material.

35 18. The light source according to any of claims 1-15, wherein

- the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

19. The light source according to any of claims 1-15, wherein

- 5       - the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

20. The light source according to any of claims 1-18, wherein the container has the shape of a curved tube, curved in e.g. a circular or semicircular curve.

10

21. A filed emission cathode (40), for use in a light source, and to be at least partially encompassed by an anode, and comprising an elongate electrically conductive means, characterized in that

- 15       - said elongate electrically conductive means includes conductive surface irregularities in the form of tips, having a radial extension being less than about 10  $\mu$ m, and being provided on at least a portion of a wire-shaped carrier having a cylindrical surface and a diameter in the range 0,5-5 mm.

22. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of a conductive material.

20

23. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of a semi-conductive material.

24. The field emission cathode according to claim 21, wherein the elongate wire-shaped carrier is made of an insulating material.

25

25. The field emission cathode (40) according to any of claims 21-23, wherein

- 30       - the cathode is to be at least partially encompassed by an anode having a cylindrical shape and a diameter in the range 8-80 mm.

26. The field emission cathode (40) according to any of claims 21-25, wherein

- the elongate carrier has an essentially circular cross section.

27. The field emission cathode (40) according to any of claims 21-25, wherein

35



- the elongate carrier has a non-circular cross section with a smooth curve, e.g. elliptical.

28. The field emission cathode according to any of claims 21-27, wherein

- 5     - the elongate carrier comprises a wire.

29. The field emission cathode according to any of claims 21-27, wherein

- the elongate carrier comprises a rod.

10    30. The field emission cathode according to any of claims 21-29, wherein

- the tips have a radius of curvature being in the range 0,1-100 nanometers.

31. The field emission cathode according to any of claims 21-30, wherein

- the elongate carrier has a first longitudinal axis,
- 15    - the cylindrical surface is at least partially covered with carbon nanotubes, each having a second longitudinal axis being essentially perpendicular to the first longitudinal axis, and
- free ends of said nanotubes constitute said tips.

20    32. The field emission cathode according to claim 31, wherein said nanotubes are arranged on the carrier in the form of a deposited nanotube film.

33. The field emission cathode according to any of claims 21-32, wherein the tips are essentially uniformly distributed around the carrier.

25

34. A light source, comprising an evacuated container having walls, at least a portion of which comprises an outer glass structure (23") which on at least part thereof is coated on the inside with a layer of phosphor (24") forming a luminescent layer, and a conductive layer (25") forming an anode, which layer of phosphor (24") is excited to luminescence by  
30    electron bombardment from a field emission cathode (40") located in the interior of the container,

characterized in that

- the field emission cathode (40") comprises a carrier, at least partly taking the form of a sphere, and
- at least a portion of the surface of said sphere being provided with conductive surface irregularities in the form of tips, having a radial extension being less than about 10  $\mu\text{m}$ .

35. The light source according to claim 34, wherein said carrier is made of a conductive material.

36. The light source according to claim 34, wherein said carrier is made of a semi-conductive material.

37. The light source according to claim 34, wherein said carrier is made of an insulating material.

38. The light source according to any of claims 34-37, wherein the container at least partly takes the form of a sphere having a radius within the range of 1-10 cm.

39. The light source according to any of claims 34-38, wherein the carrier is arranged in the center of the container.

40. The light source according to any of claims 34-38, wherein the carrier is eccentrically arranged in the container.

41. The light source according to any of claims 34-40, wherein

- the tips have a radius of curvature being in the range 0,1-100 nanometers.

42. The light source according to any of claims 34-41, wherein

- the surface of said carrier is at least partially covered with carbon nanotubes, each having a longitudinal axis being essentially perpendicular to the surface of the carrier, and
- the free ends of said nanotubes constitute said tips.

5

43. The light source according to any of claims 34-42, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.

10 44. The light source according to any of claims 34-43, wherein

- the luminescent layer (24") is arranged between the glass structure (23") and the anode (25"), and
- the anode (25") is made of a reflective material for reflection of the light emitted from the luminescent layer (24").

15

45. The light source according to any of claims 34 -44, wherein

- the anode is arranged between the glass structure and the luminescent layer, and
- the anode is made of a transparent material.

20 46. The light source according to any of claims 34-445, wherein

- the phosphor layer is formed by a conductive phosphor and the phosphor layer also forms the anode.

25 47. A field emission cathode (40"), for use in a light source, and to be at least partially encompassed by an anode, and comprising further means,

characterized in that

- said further means includes conductive surface irregularities in the form of tips, having a radial extension being less than about 10  $\mu\text{m}$ , and being provided on at least a portion of a carrier including a spherical surface.

30

48. The light source according to claim 47, wherein said carrier is made of a conductive material.

49. The light source according to claim 47, wherein said carrier is made of a semi-conductive material.

50. The light source according to claim 47, wherein said carrier is made of an insulating material.

51. The field emission cathode (40") according to any of claims 47-50, wherein  
- the cathode is to be at least partially encompassed by an anode at least partly taking the form of a sphere having a radius within the range of 1-10 cm.

52. The field emission cathode according to any of claims 47-51, wherein  
- the tips have a radius of curvature being in the range 0,1-100 nanometers.

53. The field emission cathode according to any of claims 47-52, wherein  
- the surface of said carrier is at least partially covered with carbon nanotubes, each having a longitudinal axis being essentially perpendicular to the surface of the carrier, and  
- the free ends of said nanotubes constitute said tips.

54. The field emission cathode according to any of claims 47-53, wherein the tips are essentially uniformly distributed on said portion and the surface of said sphere being provided with surface irregularities.